Pulmonary Function Test

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Disclosures / Objectives

- Recognize indications for spirometry and complete PFTs
- Interpret spirometry in the setting of clinical history
- Learn how to administer spirometry testing
- Explain the indication for ordering and interpreting spirometry and complete PFTs
- Utilize spirometry and complete PFTs when making a diagnosis in clinical practice
- This pulmonary function session will combine a practical review of spirometry as well as complete pulmonary function testing and its application in multiple clinical settings



Pulmonary Anatomy and Physiology

- People breathe 6 liters per minute
- Trachea, main bronchi have cartilage in their walls to prevent collapse
- Smaller airways do not have cartilage and pressure causes them to expand and contract
- Gas exchange occurs through the alveolar-capillary membrane



Pulmonary Anatomy and Physiology

- The contraction of the inspiratory muscles (especially the diaphragm) cause the chest cavity to expand, creating negative pressure
- External intercostals are involved
- The scalene muscle and the sternomastoid muscle can be involved in respiratory distress



Pulmonary Anatomy and Physiology

- Expiration is a passive process due to recoil of the chest wall
- Internal intercostal and abdominal muscles can help with expiration
- Lungs have elastic recoil (refers to the lungs returning to their "non-inflated" state on their own)
- Compliance refers to the amount of pressure required to inflate or deflate

Pulmonary Physiology

- Perfusion blood flow through the pulmonary vessels
- Diffusion movement of gases across the alveolar membrane
- Ventilation air exchange between alveolar spaces and atmosphere



Purpose of PFTs

- Determine if NORMAL vs. ABNORMAL
 - ► If abnormal: WHY?
- Obstructive
- Restrictive
- Pulmonary Vascular Disease
 - Pulmonary HTN
 - ▶ Chronic Thromboembolic Disorder





PFTs - Indications

- Baseline Lung Function
- Diagnosis of dyspnea
- Pre-operative evaluation/pre-procedure evaluation
- Monitoring of progression of pulmonary disease
- Periodic evaluation of workers exposed to harmful materials
- Worker's comp claims
- Monitoring after pharm. or surgical intervention
- Monitor therapy

Contraindications

- There are no absolute contraindications, but consider your patient
 - Elderly
 - Weak
 - ► Too dyspneic
 - Patients with Dementia
- If tachycardic, avoid bronchodilator
- Hemoptysis / PTX



PFTs

- Quality of Test ATS Standards
- Measurement of the Lungs
- What is normal?
 - Based on:
 - Height
 - Age
 - Gender
 - Race
 - ► Will give you PREDICTED VALUES



What do PFTs measure?

- Patency of airways (large and small)
- Parenchyma
 - Interstitial / alveoli
- Pulmonary Vasculature
- Diaphragm / Chest wall movement
- Neural control of ventilation
 - Ie: the brain





Milkshake/ Straw

- Size / Volume of shake = volume of lungs
 - Smaller size = restriction
- Straw = airway
 - ▶ How fast you can drink the milkshake
 - Tiny straw = obstruction
- Normal Range for PFTs
 - **80-120**%



Obstructive Pattern

▶ Thin straw

- COPD (chronic bronchitis / emphysema)
- Asthma
- Bronchiectasis
- Cystic Fibrosis
- Bronchiolitis



Obstructive Disease / Defects

- Peripheral airway disease // Smaller airway
 - Bronchitis, bronchiectasis, CF, asthma
- Pulmonary parenchymal disease
 - Emphysema
- Upper airway disease
 - Tumors, edema, infections, foreign body, collapsed airway, stenosis

Restrictive Pattern with Bear Hugs

- Belt around the lungs
 - Scoliosis / kyphosis
 - Phrenic nerve paralysis
 - Fibrosis
 - Obesity
 - ALS
 - Pulmonary edema
 - Extrinsic vs intrinsic causes



Restrictive Defects

□ Intrinsic

- Sarcoidosis
- Tuberculosis
- Pneumonia
- Pneumonectomy
- Interstitial Lung Disease
 - Pneumonitis, fibrosis, pneumoconioses, granulomatosis, edema, sarcoidosis

- Extrinsic
 - Scoliosis
 - Ankylosing Spondylitis
 - Pleural effusions
 - Pregnancy
 - Obesity
 - Pleurisy
 - Tumor
 - Ascites
 - Chest Wall Disease
 - Injury (rib fracture), kyphoscoliosis, neuromuscular disease

Neuromuscular Restrictive Lung Disease

- Malnutrition
- Paralyzed Diaphragm
- Muscular Dystrophy
- ALS
- Myasthenia Gravis



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Flow Volume Loop



PFTs

- Spirometry
 - ► FVC
 - ► FEV1
 - Ratio
- Lung Volumes
- Diffusion Capacity





FVC (Forced Vital Capacity)

- Refer to Flow Volume Loop / FVC
 - Definition:
 - the maximal amount of air that can be exhaled forcibly and completely after maximal inspiration
 - > 80% = normal range
- ▶ FEF (Forced Expiratory Flow)
 - flow (or speed) of air coming out of the lung during the middle portion of a forced expiration



FEV1

► FEV₁

- forced expiratory volume in the first second of the FVC maneuver
- Measure of air flow in the first second, large airways (straw of the milkshake)
- Use it with FVC
- Determines the severity of obstruction



FEV1 / FVC (Ratio)

- FEV₁/FVC (ratio) shows how much air a patient can blow out in one second compared to how much air they can blow out, total.
- < 70% = Obstruction
- > 70% = NO obstruction / possible restriction
- Used with GOLD criteria for COPD staging





Intrathoracic vs. Extrathoracic

- Measurement of smaller airways
 - ▶ FEF 25-75%
- Intrathoracic obstruction seen on exhalation
- Extrathoracic obstruction seen on inhalation





Types of Obstruction

- Variable extrathoracic
 - ▶ VC paralysis, VC constriction
 - laryngeal edema
 - OSA with upper airway narrowing
- Variable intrathoracic
 - Tracheomalacia
 - Airway tumor
- Fixed Airway
 - Tracheal stenosis / post intubation
 - Goiter
 - Endotracheal mass

Lung Volumes and Capacities

Measures air compartments of the lung

- Air trapping or reduction in volume
- > Helps to differentiate between restrictive and obstructive





Lung Volumes and Capacities

- Tidal Volume
 - > Volume of air inhaled or exhaled with each breath
- Expiratory Reserve Volume
 - Maximal amount of air forcefully exhaled after normal inspiration and expiration
- Inspiratory Reserve Volume
 - Maximal amount of air forcefully inhaled after normal inhalation



Lung Volumes and Capacities

- RV -Residual Volume
 - > Amount of air left in the lungs after maximal exhalation
- VC -Vital Capacity
 - Maximum amount of air that can be exhaled after maximal inhalation
- ► TLC -Total Lung Capacity
 - VC+RV



RV Residual Volume

- Elevated value is consistent with air trapping, permanent in emphysema, reversible with bronchodilator in asthma
- RV can decrease in diseases that occlude alveoli
- Low RV is consistent with restriction
- RV increases with age



VC Vital Capacity

- Factors which influence VC:
 - Male vs. Female
 - Age
 - Respiratory muscle strength
 - Posture
 - Pregnancy



VC Vital Capacity

- Decrease can happen in restriction or obstruction
 - Depression of respiratory center in brain
 - ► Neuromuscular disease
 - Pleural effusion
 - Pneumothorax
 - Pregnancy
 - Ascites
 - Scleroderma
 - Kyphoscoliosis
 - ▶ tumors


TLC Total Lung Capacity

- Increased in obstruction, but can be normal or increased with hyperinflation/emphysema
- Decreased (IMPORTANT in RESTRICTIVE VENTILATORY DEFECT)
 - Edema
 - Atelectasis
 - Neoplasm
 - Pneumothorax
 - Thoracic restriction



Lung Volumes

- Minute Volume (MV)
 - Volume of gas inhaled or exhaled from a person's lungs per minute
 - ► TV x RR = MV
- FRC (Functional Residual Capacity)
 - Volume in lungs at the end of passive expiration
- ERV (Expiratory Reserve Volume)
 - The additional amount of air that can be exhaled from the lungs after normal TV
- IRV (Inspiratory Reserve Volume)
 - The additional amount of air that can be inhaled after normal TV







Diffusing Capacity

- DLCO (how is it measured)
 - carbon monoxide diffused into lung (small amount)
 - Measures amount of CO binding to RBC
 - Take in DEEP breath, hold for 10 sec then exhale
 - Measures the surface area of the lungs
 - Total cross section of lungs = size of tennis court
 - Helps to differentiate diagnosis of restrictive lung disease

DLCO / VA

- Adjusted for alveolar ventilation
- If DLCO is abnormal then calculate DLCO / VA
 - DLCO / VA > 80% extrinsic etiology
 - DLCO / VA < 80% intrinsic etiology</p>
- > 80% normal surface membrane area



DLCO

Increased:

- Polycythemia
- Left to right shunts
- Pulmonary hemorrhage / blood in alveoli
- Exercise
- Usually normal in chronic bronchitis

Decreased:

- Architectural destruction of the lungs
- Lack of taking in DEEP breath
 - Scoliosis, obesity, effort (extrinsic constriction)
- ▶ methotrexate, PHTN, emphysema, fibrosis, scleroderma
- > Pulmonary emboli, anemia
- Lung resection



COPD vs. Asthma with PFTs

- COPD / Emphysema:
 - ▶ Ratio (FEV1 / FVC) < 70%
 - Decrease in DLCO
- Asthma
 - Ratio (FEV1 / FVC) < 70%</p>
 - Intrathoracic pressure negative, more blood into heart -> into lungs which will increase # RBC in circulation, bind with CO
 - Increase or Normal DLCO



PFT Procedure





PFTs – Interfering Factors

- Recent bronchodilator use
- Respiratory infections
- Patient effort/inability to maintain airtight seal
- Exercise (increased cardiac output) and polycythemia interfere with DLCO
- Increased levels of COHb in smokers and anemia decrease the DLCO, but you can correct for this





Interpreting PFTs

- Step 1: FVC
 - ► > 80% = NO RESTRICTION
 - ▶ < 80%
 - Restriction OR Obstruction with air-trapping
 - ▶ TLC > 80% = Obstruction with air-trapping
 - ► TLC < 80% = Restriction
- Step 2: Ratio (FEV1 / FVC)
 - ► > 70% = NO OBSTRUCTION
 - < 70% = OBSTRUCTION</p>



Severity of Obstruction

- GOLD Grades (FEV1)
 - ▶ 1: 80-100%
 - ▶ 2: 50-80%
 - ▶ 3: 30-50%
 - ▶ 4: 0-30% or respiratory failure with elevated pCO2
- Reactivity //Bronchodilator response is defined as +200cc, AND 12% by ATS (Amer. Thoracic Society) guidelines







PEFR

- Peak Expiratory Flow Rate
 - Highest forced exp.
 Flow measured with a peak flow meter



Methacholine Challenge Test

- Bronchial provocation is done in patients with normal pulmonary function suspected of having some hyperreactivity
- Positive test is >20% decrease in FEV₁ from baseline
- 5-10% of asthmatic patients do not respond to methacholine



MCT - Procedure

- FEV₁ at baseline
- Patient then inhales increasing concentrations of methacholine; with each dose, measure FEV₁
- Give an inhaled bronchodilator if a 20% response is shown
- There are usually 6 measurements taken, and if a 20% decrease is not achieved, the test is considered negative
- Be sure your lab follows ATS guidelines for methacholine dosing
- Histamine test is the next step if you are still suspicious



4/14/2020

Case Studies

Case Study 1

- 44 yo with pmhx of childhood asthma, CAD s/p CABG and HTN presented to ER with HA and was found to have HTN urgency. He was admitted, started on antihypertensive agents and his BP improved.
- Subsequently he developed intermitted stridor and spirometry was obtained
- INTERPRET



ATLANTA

PULMONARY FUNCTION REPORT

Dyspnea Rest:	No Dyspnea E	xercise: Yes	
Cough: No	Persistent: No	Productiv	e (cc):
Smoker: No	Cigarettes: No	Cigars: No	Pipe: No
How Long:	Quit: No Sto	opped:	

		Ref	Pre	% Ref	Post	% Ref	%Chg
Spirometry							
FVC	Liters	4.14	2.52	61	2.67	64	6
FEV1	Liters	3.37	1.52	45	1.61	48	6
FEV1/FVC	%	81	60	74	60	74	0
FEV6	Liters	4.05	2.46	61	2.63	65	7
FEF25-75%	L/sec	3.49	1.20	34	1.25	36	4
FEF50%	L/sec		1.38		1.34		-3
PEF	L/sec	8.80	1.67	19	1.99	23	19
FET100%	Sec		11.90		16.74		41
FIVC	Liters		1.20		2.51		109
FIF50%	L/sec		0.67		0.63		-6
FVL Time			12:27		12:44		

Date of Test: 02/17/17 Birth Date: 12/28/72

Age: 44

MRN: 903852778 Height(in): 69.0 Weight(lb): 275 BMI: Gender: Male Race: African-Ameri Diagnosis: SHORTNESS OF BREATH Room: 415 Provider: JERMAINE JACKSON MD

Technician: SYJ



Case Study 1 Answer

Showed Fixed airway obstruction

- Differential diagnosis
- ▶ What in his PMHx might cause this?
- Treatment required was tracheostomy to protect the airway



Case Study 2

54 y/o female with PMH significant for HFpEF, CAD, HTN, obesity, and chronic respiratory failure with PFTs below. She has a 40 py history of smoking and unfortunately continues to smoke ½ ppd despite measures to quit with Chantix, Wellbutrin, and nicotine replacement therapy. She complains of daily significant dyspnea on exertion, wheeze and chronic cough, mostly productive when she first wakes up in the morning of white sputum. She has tried albuterol HFA in the past with only minimal relief of these symptoms.



Case Study 2

---Spirometry---

	Ref	Pre Meas	Pre % Ref	Post Meas	Post % Ref	Post % Chg
FVC Liters	3.21	1.93	60			
FEV1 Liters	2.53	0.92	36			
FEV1/FVC %	80	48				
FEF25% L/sec		1.01				
FEF75% L/sec		0.17				
FEF25-75%L/sec	2.52	0.36	14			
PEF L/sec	6.29	2.58	41			
FIVC Liters		1.69				
PIF L/sec		2.65				





Case Study 2 Answer

- Patient's spirometry reveals severe obstruction. Her flow volume loop shows concavity, which is consistent with obstruction. In patients with COPD that is severe with significant symptoms.
- Consider LAMA/LABA as treatment
- If there is frequent exacerbations, such as with this patient consider adding ICS as it has been proven to reduce exacerbations. Caution recurrent pneumonia, which is an increased risk while using ICS.



Case Study 3

57 y/o female with PMH significant for hepatopulmonary syndrome as well as OSA, chronic respiratory failure and obesity complains of dyspnea no exertion. She denies any wheeze, chronic cough. She does note intermittent LE swelling that seems to be controlled well with furosemide 20 mg daily. She is a current smoker, smoking < ½ ppd with smoking history of 12 years. Recent echo performed shows mild to moderate pulmonary HTN with RVSP of 45 mm Hg. Recent Hi-Res CT chest shows centrilobular emphysema (upper lobe predominant) without any fibrosis, honeycombing, bronchiectasis, or reticulations.



Case Study 3

CO DO LETOY		onchodilat		P
SPIROMETRY	Pred	Actual	%Pred	LLN A
FVC (L)	3.55	3.25	91	2.84
FEV1 (L)	2.78	2.50	90	2.18
FEV1/FVC (%)	79	77		69
FEF 50% (L/sec)		3.02		
FEF 75% (L/sec)		0.71		
FEF 25-75% (L/sec)	2.62	2.12	81	1.34
FEF Max (L/sec)	6.69	8.66	129	4.93
FIVC (L)		2.79		
FIF Max (L/sec)		5.37		
LUNG VOLUMES	Pred	Actual	%Pred	LLN - ULN
SVC (L)	3.55	3.25	91	2.84
IC (L)	2.19	1.68	77	1.75
ERV (L)	1.10	1.44	131	0.87
TGV (L)	2.93	3.09	105	1.86
RV (Pleth) (L)	1.94	1.52	79	1.16 - 2.71
TLC (Pleth) (L)	5.20	4.77	92	4.12 - 6.28
RV/TLC (Pleth) (L)	37	32		26 - 48
Trapped Gas (L)				
DIFFUSION	Pred	Actual	%Pred	LLN
DLCOunc (ml/min/mmHg)	25.9	15.4	59	19.9
DLCOcor(ml/min/mmHg)	25.9	15.4	59	19.9
DL/VA(ml/min/mmHg/L)	5.21	3.51	68	3.90
VA (L)	5.20	4.38	84	4.12
-Airways Resistance-	Pred	Actual	%Pred	LLN
Raw (cm/H2O/L/s)	1.98	1.27	64	
Gaw (L/s/cmH2O)	0.482	0.785	163	
sRaw (cmH2O*s)	3.99	4.88	123	
sGaw (L/cmH2O*s)	0.251	0.205	82	
Max Pressures	Pred	Actual	%Pred	
MEP (cmH2O)	141			
MIP (cmH2O)	76			

Case Study 3 Answer

- This patient's PFT do no demonstrate any obstructive nor restrictive defects given FEV1/FVC ration >0.70, TLC is 92%. She does have moderately reduced DLCO that does not correct for alveolar volume (68%). This can likely be attributed to her pulmonary HTN +/- emphysema. When a patient complains of SOB with reduced diffusion capacity with otherwise normal PFTs considerations include, but are not limited to pulmonary HTN, acute PE, emphysema.
- Other considerations may include heart failure, valvular heart diseases. Any disease that affects the pulmonary parenchyma or circulation can affect diffusion capacity. It is a measure of the integrity of the alveolar-capillary membrane, essentially how well the lungs are exchanging CO for O2.



Case 4

56 y/o female with PMH significant for Scleroderma (Systemic Sclerosis) and Rheumatoid Arthritis who complains of moderate dyspnea on exertion. She is currently managed on mycophenolate and daily prednisone per her rheumatologist for her autoimmune/connective tissue diseases. She also admits to intermittent cough as well as mild intermittent lower extremity edema. On CXR in clinic she is noted to have increased interstitial markings with suggestion of enlarged pulmonary artery.





Flow 8

6

4

2

-2

4

-6_1 0

Flow 2.0

0.

-2.0

Case 4 Answer

- This patient has severe restriction with a moderately reduced diffusion capacity that does not correct for alveolar volume. She does not have any obstruction as her FEV/FVC ratio is >0.70 and therefore bronchodilator was not administered during her complete PFTs. Given her history of connective tissue/autoimmune disorders further imaging is warranted in this patient. HRCT (high resolution CT scan) of the chest should be performed to look for interstitial lung disease. It may also be prudent to obtain an echo to look for pulmonary hypertension given history of swelling and suggestion of enlarged pulmonary artery on prior imaging.
- HRCT showed this patient had changes consistent with interstitial lung disease, likely related to her autoimmune/connective tissue diseases. She is currently treated for those diseases per rheumatology with mycophenolate, prednisone, which in turn is the treatment for her ILD. Her echo showed elevated RVSP at 60 mm Hg (suggestive of pulmonary HTN) as well as RV dilation and mildly reduced systolic function. Pulmonary Hypertension can cause reduced DLCO as can ILD.

Case 5

47 y/o obese (BMI 45 kg/m²) female who presents to clinic complaining of moderate to severe dyspnea with exertion, worsened during the summer months when the weather is hot and hazy. She admits to history of childhood asthma however notes "It seemed to go away for a long time". She is a lifelong non-smoker. Patient has had three ED visits in the past several months with complaints of chest tightness, wheeze, and shortness of breath. She denies significant cough, chest congestion, hemoptysis, LE swelling. Cardiac history is unremarkable.



Case 5

Test Comments

	Pre - Br	ronchodila	tor		Post - B	ironchodil	ator
SPIROMETRY	Pred	Actual	%Pred	LLN	Actual	%Pred	Change
FVC (L)	3.48	1.61	46	2.67	2.02	58	25
FEV1 (L)	2.81	1.01	36	2.11	1.35	48	33
FEV1/FVC (%)	82	63		71	67		
FEF 50% (L/sec)		0.70			1.04		49
FEF 75% (L/sec)		0.21			0.28		32
FEF 25-75% (L/sec)	3.14	0.52	16	1.73	0.76	24	46
FEF Max (L/sec)	7.09	2.56	36	4.80	4.03	57	57
FIVC (L)		1.33			1.69		27
FIF Max (L/sec)		1.44			2.53		76
LUNG VOLUMES	Pred	Actual	%Pred	LLN - U	JLN		
SVC (L)	3.48	1.64	47	2.67			
IC (L)	2.60	1.19	46	2.15			
ERV (L)	1.30	0.30	23	1.08			
TGV (L)	3.23	1.98	61	2.16			
RV (Pleth) (L)	1.95	1.53	78	1.18 - 2	2.73		
TLC (Pleth) (L)	5.73	3.17	55	4.65 - 6	5.81		
RV/TLC (Pleth) (L)	34	48		23 -	45		
Trapped Gas (L)							
DIFFUSION	Pred	Actual	%Pred	LLN			
DLCOunc (ml/min/mmHg	29.4	15.1	51	23.4			
DLCOcor (ml/min/mmHg)	29.4	15.1	51	23.4			
DL/VA(mi/min/mmHg/L)	5.41	6.23	115	4.10			
VA (L)	5.73	2.42	42	4.65			
-Airways Resistance-	Pred	Actual	%Pred	LLN			
Raw (cm/H2O/L/s)	1.78	5.20	293				
Gaw (L/s/cmH2O)	0.530	0.192	36				
sRaw (cmH2O*s)	3.93	13.72	349				
sGaw (L/cmH2O*s)	0.254	0.073	29				
Max Pressures	Pred	Actual	%Pred				
MEP (cmH2O)	145						
MIP (cmH2O)	80						



Case 5 Answer

- This patient has an obstructive defect. Her FEV1/FVC ratio is <0.70 with FEV1 36%, therefore the obstruction is severe. Her obstructive shows positive bronchodilator response (or reversibility) of 33%, which is significant (12% or 200 mL change in FEV1 after administration in bronchodilator is considered reversible). There is also some restriction noted however her diffusion capacity is not affected as it is 115% therefore it is suspected to be reduced given her body habitus. Her PFTs are consistent with asthma, likely severe, persistent.</p>
- It would be recommended to obtain CBC with diff for EOS count, IgE and an allergen panel in this patient to determine if she is a candidate for biologic therapies in addition to the high dose ICA/LABA and SABA she is currently using.

Clinical Citations

- 2020 GOLD Global Initiative for Chronic Obstructive Lung Disease
- Pulmonary Function Tests PFT Interpretation Explained (Pulmonology); Roger Seheult, MD

